

#15/52  
5-23-01

PATENT  
ATTORNEY DOCKET NO. 03848-00029

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Yanxiang Cao et al.

Serial No.: 09/634,352

Filed: August 9, 2000

Title: METHODS OF GENE EXPRESSION  
MONITORING

Examiner:

Art Unit: 1645

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Assistant Commissioner for Patents  
Team OIPE  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Bizuayehu Zewdie:

Attached is a copy of the Official Filing Receipt received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested.

There are errors with respect to the following, which are incorrectly entered. Specifically, the order of inventors is incorrect. The correct order should be shown as --Yanxiang Cao, Lubert Stryer, David Lockhart, Catherine G. Dulac, Ian Tietjen, and Jason Rihel.--

In addition, inventor Yanxiang Cao's address is in correct and should be corrected to show --Mountain View, CA.--

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on

January 26, 2001  
Date  
Laurie Hall

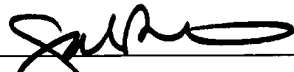
Laurie Hall

The corrections are not due to any error by Applicants and no fee is due. A copy of the application transmittal letter submitted with the application on August 9, 2000 is also enclosed.

Respectfully submitted,

Dated: \_\_\_\_\_

January 26, 2001

  
John P. Iwanicki, Reg. No. 34,628  
BANNER & WITCOFF, LTD.  
28 State Street, 28th Floor  
Boston, MA 02109  
001-617-227-7111

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Bib Data Sheet

CONFIRMATION NO. 5730

<b>SERIAL NUMBER</b> 09/634,352	<b>FILING DATE</b> 08/09/2000 <b>RULE</b>	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1645	<b>ATTORNEY DOCKET NO.</b> 03848-00029
<b>APPLICANTS</b> Yanxiang Cao, Mountain View, CA; Lubert Stryer, Stanford, CA; David Lockhart, Del Mar, CA; Catherine G. Dulac, Cambridge, MA; Ian Tietjen, Somerville, MA; Jason Rihel, Somerville, MA;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/148,081 08/09/1999 AND CLAIMS BENEFIT OF 60/160,925 10/22/1999				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 09/26/2000</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged Examiner's Signature: <i>[Signature]</i> Initials: <i>[Initials]</i>	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 10	<b>TOTAL CLAIMS</b> 45	<b>INDEPENDENT CLAIMS</b> 6
<b>ADDRESS</b> John P Iwanicki Banner & Witcoff Ltd 28th Floor 28 State Street Boston, MA 02109				
<b>TITLE</b> Methods of gene expression monitoring				
<b>FILING FEE RECEIVED</b> 840	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:			
				<input type="checkbox"/> All Fees
				<input type="checkbox"/> 1.16 Fees ( Filing )
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/634,352	08/09/2000	1645	0	03848-00029	10	45	6

John P Iwanicki  
Banner & Witcoff Ltd  
28 State Street 28th Floor  
Boston, MA 02109

## FILING RECEIPT



\*OC00000005438569\*

Date Mailed: 09/29/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Lubert Stryer, Aspen, CO ;  
Yanxiang Cao, Palo Alto, CA ;  
David Lockhart, Del Mar, CA ;  
Catherine G. Dulac, Cambridge, MA ;  
Ian Tietjen, Somerville, MA ;  
Jason Rihel, Somerville, MA ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/148,081 08/09/1999  
AND CLAIMS BENEFIT OF 60/160,925 10/22/1999

## Foreign Applications

If Required, Foreign Filing License Granted 09/26/2000

## Title

Methods of gene expression monitoring

## Preliminary Class

435

DOCKETED

OCT 06 2000

BANNER &amp; WITCOFF, LTD.

Data entry by : ZEWDIE, BIZUAYEHU

Team : OIPE

Date: 09/29/2000



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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
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- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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